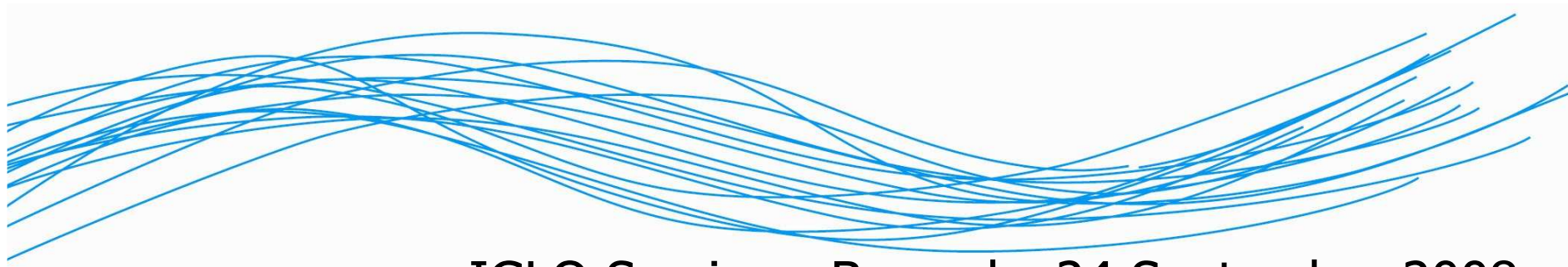


FP6 Ex-Post Evaluation in context



IGLO Seminar, Brussels, 24 September 2008

Dr. Peter Fisch
European Commission
Directorate General Research A.3

FP Evaluation System (1)

- Actors
 - Political level (Member States)
 - European Commission level
 - “Directorate General” level
 - Member States’ evaluation
- Rules
 - Framework Programme Decisions
 - European Commission Financial Regulations and related rules
 - European Commission Communications on Evaluation
 - Other types of internal European Commission Regulations

FP Evaluation System (2)

FP6	FP7
Annual Monitoring by independent experts	Internal monitoring of implementation -Indicators to track progress
	FP7 interim evaluation
Five Year Assessment by high-level independent experts	Ex post assessment of each FP, 2 years after its completion by high-level independent experts
Impact surveys at FP level	Strengthened programme of coordinated strategic-level evaluations
Evaluation studies at operational level	Evaluation studies at operational level (portfolio, programme)
National impact studies	Coordinated national impact studies
Ad-hoc research-related activities	FP research on evaluation tools and approaches

FP6 Ex-post Evaluation

- Actors: Expert group; Commission services
- Legal base: FP Decision
- Scope: All Community research activities
- Organisation: Coordinated approach between Commission services for FP activities
- Input: Reports from INFISO and JRC; evidence base of 30+ studies; Member States' studies; expert analyses; self assessments,...
- Output: Expert group report (findings and recommendations); Commission response
- Timing: Panel report by end 2008
- Dissemination: Policy makers and FP management

FP6 Ex-post Evaluation Expert Group

- Ernst Rietschel (Chair), Leibniz Association, D
- Aris Kaloudis (Rapporteur), NIFU-STEP, NO
- Antanas Cenys, Vilnius Technical University, LT
- Andrew Dearing, EIRMA, UK
- Irwin Feller, Penn State University, USA
- Sylvie Jaussaume, CNRS, F
- Lene Langer, Copenhagen University, DK
- Jerzy Langer, Academy of Sciences, PL
- Victoria Ley, ANEP, SP
- Riita Mustonen, Academy of Finland, FI
- Derek Pooley, UKAEA, UK
- Nicoletta Stame, Rome University, IT

FP6 Ex-post Evaluation Supporting Studies (Examples)

- Networking Patterns
- Behavioural Additionality
- FP6 New instruments
- Impact of FP6 on new Member States
- International Standing of FP
- Bibliometric analysis
- ...

FP7 Monitoring Implementation

- Move from external monitoring (FP6) towards internal monitoring (FP7)
- Move from an “ad-hoc” approach towards a continuous and systematic collection of information and indicators
- Monitoring primarily aimed to support management in implementing FP7
- Annual report to be presented to the Programme Committee and to be published on internet
- Possible information source for future FP7 evaluations
- Flexible system to develop as FP7 will become more “mature”
- First report envisaged for November 2008

FP7 Monitoring Issues at Stake

- Application numbers
- Proposal Evaluation
- Time to contract
- Success rates
- User Feedback
- ...

Evaluation of FP7

- **Roadmap**
 - Progress Report - 2009
 - Interim Evaluation - 2010
 - Ex-ante Evaluation – in time for new Commission proposal on “FP8”
 - Ex-post Evaluation – 2015
- **FP7 interim evaluation**
 - Independence
 - Comprehensive evidence base
 - Build on the ex-post evaluation of FP6

FP Evaluation Perspectives

- Growing EU Research budget also means: Increased need for accountability
- Efficiency of the European RTD system under scrutiny
- Timing of forthcoming evaluations in line with need to have an informed debate on future EU RTD policy
- Need to focus more on the “fundamental” aspects and less on minor implementation issues
- Need to develop evaluation capacities in Europe as part of the European Research Area

FP Evaluation Achievements and Challenges

- ☑ Individually robust evaluations
- ☑ Independence
- ☑ Assessment of impact on scientific knowledge
- ☑ Justification of interventions
- ☑ European network
- ☑ Some evolution of tools

- Coordination
- Assessment of longer-term impacts
- Assessment of socio-economic impact
- Use of results in implementation

Contact

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